



Diana Guth, BA, RRT

# **Sleep & Respiratory Care**

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# Why am I having trouble sleeping?

- Do I have a Sleep Disorder?
- Am I Hypoventilating?
- Is pain interrupting my sleep?
- How are the answers to these questions determined?

# **Sleep Disorders vs Hypoventilation**

# Sleep Disorders





# HERMAN

JIM

*Unger*

WHAT'S THE MATTER WITH YOU?

I CAN'T SLEEP.

COUNT SHEEP.

ONE, TWO, THREE...

NOT SO LOUD!

EIGHTY-SIX,  
EIGHTY-SEVEN,  
EIGHTY-EIGHT...

SIX HUNDRED AND  
FORTY-TWO, SIX  
HUNDRED AND  
FORTY-THREE...

NINETEEN THOUSAND, TWO HUNDRED  
AND TWENTY-SEVEN...



**"Hello, Census Bureau? Another one of  
your census-takers fell asleep  
on our doorstep!"**

# Sleep Disorder Terminology

- **OSA: Obstructive Sleep Apnea**
- **CSA: Central Sleep Apnea**
- **Mixed Apnea (both OSA & CSA)**
- **Complex Sleep Apnea**
- **Cheyne Stokes Respiration/  
Periodic Breathing**
- **Hypoventilation:  
AKA Respiratory Insufficiency**

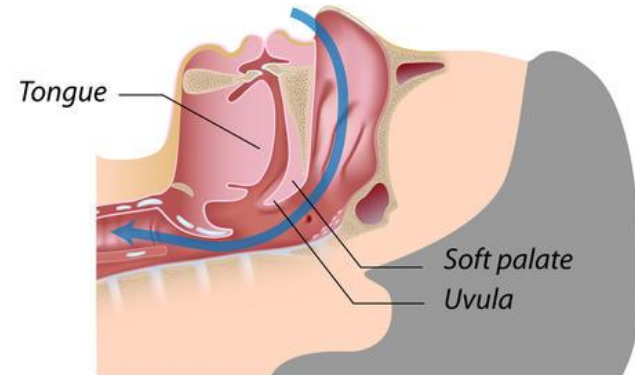


# **Mechanics of Obstructive Sleep Apnea (OSA)**

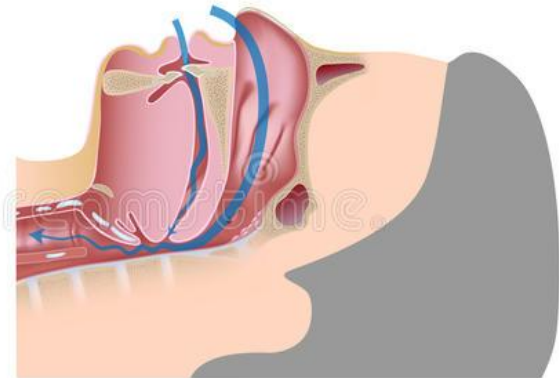
- Soft tissues in the back of the throat relax, collapse and obstruct the airway

# Obstructive Sleep Apnea

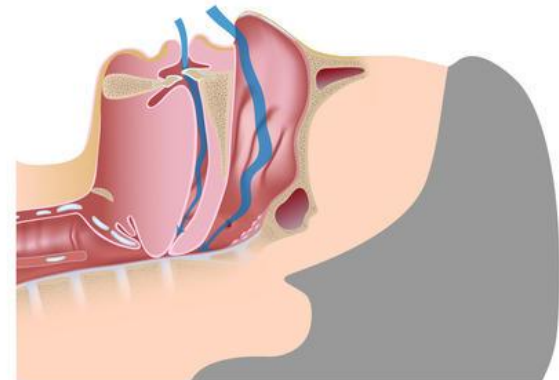
Normal breathing



Snoring - Partial obstruction of the airway



OSA - Complete obstruction of the airway



# Central Sleep Apnea (CSA)

- Brain doesn't send out the signal to breathe
- Frequently seen in patients with cardiac and neurological disorders
- In PPS or other neuromuscular disorders, weak respiratory effort while asleep can mistakenly be interpreted as CSA

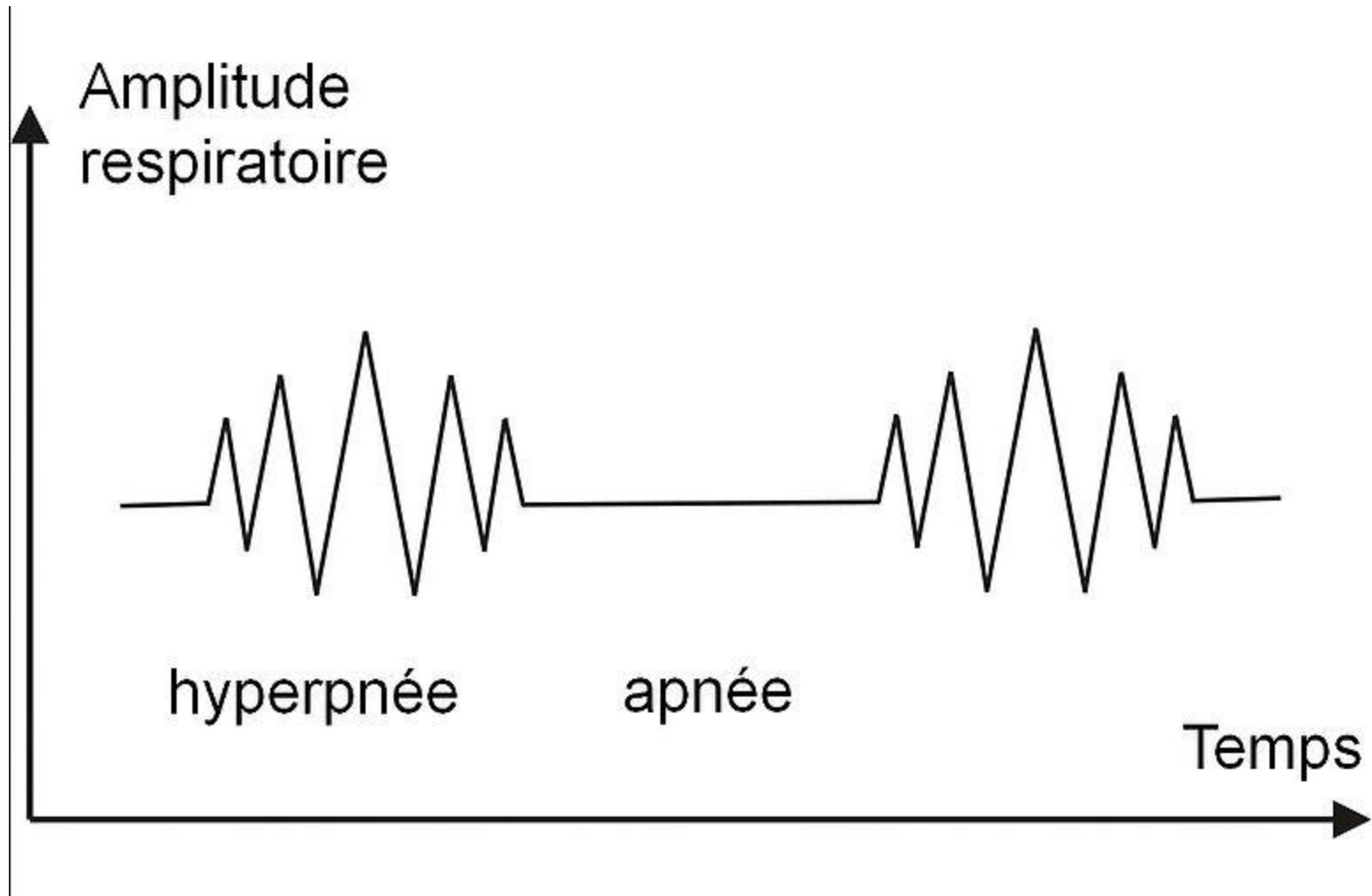
# Complex Sleep Apnea

- Form of Central Sleep Apnea
- Patient has been diagnosed with OSA
- During the CPAP titration, central apneas emerge or persist as Positive Airway Pressure is administered in an effort to treat the OSA

# **Cheyne-Stokes Respiration/ Periodic Breathing**

- A crescendo pattern of breathing followed by...
- A cessation of breathing
- Most commonly seen in patients with congestive heart failure, neurological conditions and central sleep apnea

# Cheyne-Stokes Respiration/ Periodic Breathing





# Symptoms of Sleep Apnea

- Snoring with pauses in breathing followed by a gasp for the next breath (witnessed)
- Excessive Daytime Sleepiness (EDS)
- Waking up tired
- Falling asleep at inappropriate & unsafe times
- Waking up with a dry or sore throat
- Insomnia
- Irritability
- Attention problems
- Morning headache (also for hypoventilation)

# Causes of Sleep Apnea

- Overweight
  - If a person with sleep apnea loses weight, sometimes the sleep apnea disappears
- Anatomy
- Genetics
- Large neck size
- More common among men

# Diagnosing Sleep Disorders

- Go to a pulmonologist (for people with PPS) who is also a sleep medicine specialist. Some neurologists are also boarded in sleep
- Sleep Studies
  - Attended split night sleep study in a sleep lab
  - Home Sleep Study

# Sleep Test Definitions

- **Apnea**: The cessation of airflow for at least 10 seconds
- **Hypopnea**: An abnormal respiratory event lasting at least 10 seconds associated with at least a 30% reduction in the thoracoabdominal movement or airflow as compared to baseline, and with at least a 4% decrease in oxygen saturation
- **Apnea-Hypopnea Index (AHI)**: The Average number of episodes of apnea & hypopnea per hour of sleep without the use of a positive airway pressure device
- Apneas & Hypopneas are also referred to as “events”

# Attended Sleep Study

- Performed in a sleep lab. Administered and monitored by a Registered Polysomnographic Technician
- Usually a Split Night Sleep Study
  - First half of the night is diagnostic
  - Second half of night (if determined the patient has a sleep disorder), CPAP or BPAP pressures are titrated to therapeutic levels

# **Attended Facility Based Sleep Study Monitored Continuously & Simultaneously: What is Monitored?**

- Electroencephalogram (EEG): Determines stages of sleep
- Electrocardiogram: See if the heart reacts to apneic episodes
- Electro-Oculogram (EOG): Identified REM (Rapid Eye Movement)
- Electromyogram: Measures muscle activity
- Airflow
- Respiratory Effort
- Oxygen Saturation
- Camera for tech to view and sometimes record



# Home Sleep Test

- Performed unattended in the beneficiary's home using a portable, recording device.
- Different Types: Can have 3 to 7 recording channels
- None of them records EEG
- Cannot titrate PAP pressures.
  - If after data is downloaded and a report is generated and interpreted by a physician Boarded in Sleep Medicine, the PAP pressure determination is done via an Auto CPAP or Auto BPAP
- Situation where an attended titration should take place:
  - If Central Apnea emerge
  - Neuromuscular patient

# Medicare Coverage of Sleep Devices

## For CPAP

- F2F eval with treating MD before the sleep test
- AHI is  $\geq 15$  events per hour with a minimum of 30 events, OR
- AHI is  $\geq 5$  &  $\leq 14$  events per hour with a minimum of 10 event & documentation of:
  - Excessive daytime sleepiness, impaired cognition, mood disorders, or insomnia OR
  - Hypertension, ischemic heart disease, or history of stroke

## For BPAP

- All of above plus CPAP has been tried & proven ineffective based on a therapeutic trial conducted in either a facility or in a home setting

# **Treatment of Sleep Disorders**

This is different from treating  
hypoventilation!

# Sleep Apnea Disease Management

- Sleep apnea is a serious medical condition
- Left untreated the person suffering from this disorder has at risk of heart attack, stroke and falling asleep at the wheel
- Sleep Apnea Disease management requires a team of experienced sleep specialists: physicians, polysomnographic techs, respiratory therapists, dentists, psychologists, ENT and maxillofacial surgeons

# Device Terminology

- **CPAP:**
  - Continuous Positive Airway Pressure
- **BiPAP™** (Respironics) or **Bilevel PAP:**
  - Bilevel Positive Airway Pressure
- **IPAP:**
  - Inspiratory Positive Airway Pressure
- **EPAP:**
  - Expiratory Positive Airway Pressure
- **Pressure Support Ventilation**
  - Difference between IPAP & EPAP

# Treatment for Obstructive Sleep Apnea

- Positive Airway Pressure (PAP) devices, Heated Humidifiers & Masks are the mechanism for treatment – Gold Standard
- Air Splint
  - Mechanical treatment for a serious problem
  - Blows air, under pressure, through the nose (and sometimes mouth) to keep the airway open



# CPAP & Auto CPAP Devices & Indications for Use

- **CPAP E0601**

- Treats OSA & Mixed Apnea
- **Prescribe one pressure setting** (5-20 cm H<sub>2</sub>O)
  - Determined at PSGT or Auto CPAP

- **Auto CPAP E1399 or E0601**

- Treats OSA & Mixed Apnea
- Variable self adjusting single pressure (4-20 cm H<sub>2</sub>O)
- Diagnostic & therapeutic applications
  - Can be used to determine therapeutic pressure
  - Patients with fluctuating weight
  - Therapeutic device for some patients
- **Prescribe: Minimum & Maximum Pressures**

# Two Types of “BPAP” Machines

- **BPAP S:**
  - Used to treat severe OSA
  - Has no back-up rate there should not be used for hypoventilation
- **BPAP S/T:**
  - Used to treat hypoventilation
  - Has a Timed Back-up Rate so if the patient does not initiate a breath, they will receive a supported breath
- “**S**” means **Spontaneous:**
  - The patient **Spontaneously** triggers each breath
- “**T**” mean **Timed**
  - There is a **Timed Back-up Rate**

# Bilevel PAP Devices w/o Back-up Rate (BPAP S): Indications for Use

- **Bilevel PAP E0470 (without Back-up Rate)**
  - Sleep Apnea Diagnosis: Treats more severe OSA, Mixed Apnea, failed CPAP trial (PAP for OSA LCD)
  - Other Diagnoses: COPD, Obesity Hypoventilation Syndrome (RAD LCD)
  - Pressure ranges: 4-20 or 25 cm H<sub>2</sub>O (depending on model)
  - **Set/Prescribe 2 Pressures** – Higher **IPAP** & Lower **EPAP**

# Auto Bilevel PAP S Devices E0470

## Indications for Use

- Sleep Apnea Diagnoses: More severe OSA, Mixed Apnea, Failed CPAP trial
- Diagnostic & therapeutic application
  - Can be used to determine therapeutic BPAP pressure if not titrated in lab or failed CPAP trial
  - Patients with fluctuating weight
  - Therapeutic device for some patients
- Variable Pressures – **Set/Prescribe:**
  - **Minimum EPAP**
  - **Minimum IPAP**
  - **Maximum IPAP**
  - **Pressure Support**

# Bilevel S/T Ventilators & Indications for Use

- **BiLevel Noninvasive PAP Ventilator**
  - Treats neuromuscular diseases (like ALS, Post Polio Syndrome, MD), Restrictive Thoracic Disorders, Severe COPD, Hypoventilation Syndrome
  - Set higher IPAP, lower EPAP in order to deliver pressure supported ventilation
  - Cannot set the size of each breath
  - Timed back-up rate
  - Some have Disconnect and power outage alarms
  - Does not have a built-in battery for portability or power outage

# Philips Respironics BiPAP AVAPS™: Average Volume Assured Pressure Support

- Bilevel PAP S/T: Size of each breath is not set & sometimes the patient can't extract a large enough breath (end stage ALS)
- AVAPS: Can set Tidal Volume (size of each breath).
  - EPAP is fixed
  - IPAP adjusts to deliver each breath (set Minimum & Maximum IPAP pressure)
  - Has Timed Back-up Rate
  - Has disconnect and power outage alarms
  - Does not have a built-in battery for portability or power outage



# **Bilevel Servo Devices & Indications for Use**

- Treats Central Sleep Apnea, Mixed Apnea, Complex Sleep Apnea, Cheyne-Stokes Breathing, Periodic Breathing
- Provide Pressure Support Ventilation on a breath-by-breath basis
  - Evens out breathing pattern by memorizing patient's waking respiratory rate & volume; then duplicates it w/asleep giving pressure supported breaths during apnea/small breaths & little pressure when hyperventilating.
  - Adaptive to pt flow limitation and respiratory rate

# PAP Comfort Settings/Features

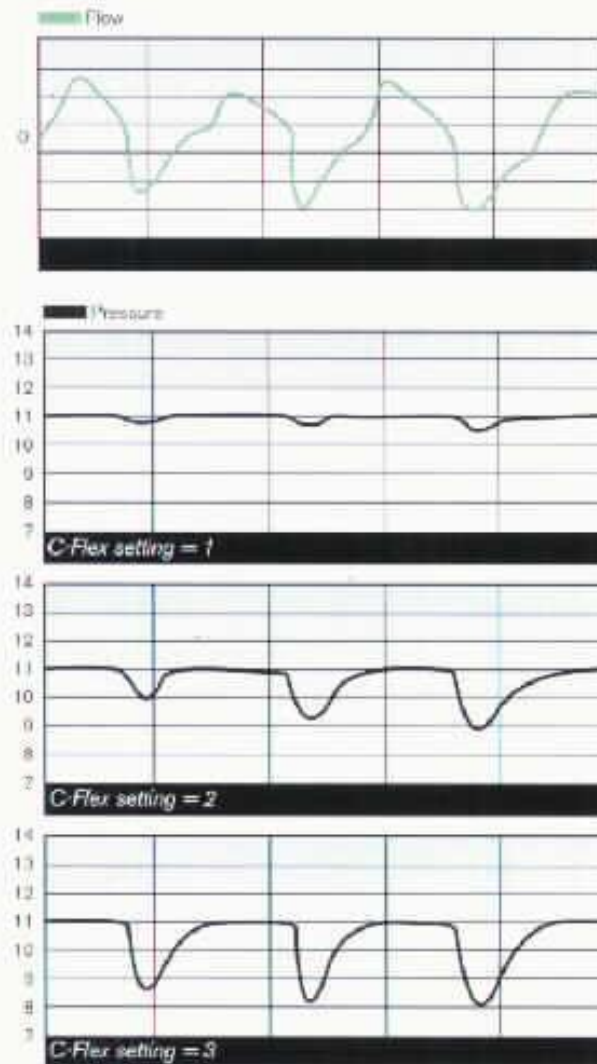
- Ramping
  - Pressure starts low & gradually increases to therapeutic pressure over 5-45 minutes
  - Purpose: to help patient initiate sleep
- Ramp Time – some fixed @ 20 minutes
  - Minimum
  - Maximum (some 45 minutes)
  - Ramp Start Pressure or Start EPAP for BPAP
    - Patient access
  - Auto Ramp – ResMed AirSense 10 PAPs & Resironics Dream Station

# PAP Comfort Settings/Features

- Cflex™ or Biflex™ (Respironics) or EPR™ (ResMed)
  - Patient access
- Auto On & Auto Off
- SensAwake™ (Fisher & Paykel)
- Mask Resistance
- Mask fit indicator
- Mask Leak Alarm

# Additional Features

- C-Flex, Bi-Flex, EPR



# Common Features

- Permanent and/or disposable filters
- Auto Voltage detection: 110V to 240V
- Altitude compensation: Manual or automatic



# Humidifiers

- PAP often has a drying effect on the sinuses causing
  - Uncomfortable dryness
  - Congestion – vasomotor rhinitis
- Heated Humidification can counteract that side effect
- Have contributed to higher treatment adherence

# Condensation

## From Heated HumidifierS

- Causes water to accumulate in the tube creating an annoying gurgle in the middle of the night
- Caused by difference between the temperature in the air and that inside the tube
- Solutions
  - Insulate tube from the outside
  - Special warmed tube (coiled wire in tube)
    - Fisher & Paykel Icon
    - ResMed S-9 & S-10 platform uses a Climateline
    - Philips Respironics Heated Tube





**ResMed  
AirSense™  
10  
Climateline  
Heated Tube**



# Additional Features

- Back up Power:

Most devices use commercial AC power, and have 12 VDC capability via power cord used in automobile cigarette lighter receptacle.

One company has a small add-on battery pack for their CPAP device.

# Tracking PAP Treatment

- Many PAPs have data memories:
  - CPAP
    - Hours of usage per night
    - Leaks
    - AHI
    - Central apneas (ResMed)
  - Auto CPAP & Auto BPAP
    - Average pressure
  - BPAP Auto SV & BPAP
    - Tidal Volume
    - Minute Volume
    - Respiratory Rate

# Extracting Memorized Data

- Medicare PAPs must have memories (more costly to DME company, not patient)
- Remote Monitoring via Modems (Wireless and Wired)
  - DME Company can give MD & Sleep Lab internet access to their patients
- Download Data Card (need manufacturer's software)
- Patient can download Data to an internet site
- Download to computer directly from PAP
- Patient can read off codes on PAP

# **PAP Platforms**

- **Most of the PAP manufacturers have built various models into a standard “box”**
- **Visually CPAP, Auto CPAP, Auto BPAP, Servo, BPAP S, S/T & AVAPS look identical**
- **Heated Humidifier**
  - **Proprietary coupling to the PAP**
  - **Integrated into the PAP**

# ResMed AirSense™ 10 Sleep System Has Built-in Modem



# **Philips Respironics DreamStation**

## **Bluetooth Connection to DreamMapper App**

### **Optional Removeable Modem**





# Philips Respironics DreamStation PAP



# ResMed S-9 Sleep System™



# ResMed S-9 Sleep System <sup>TM</sup>



# Philips Respironics SystemOne Sleep Therapy System™



# Philips Respironics Sleep Therapy System <sup>TM</sup>



# ResMed AirMini Travel CPAP



# **Somnetic Transcend “Travel” CPAP:**

Very small, HME & Small Batteries

Solar Powered Battery Charger



## SoClean2

- Sanitizes without taking your CPAP apart!
- It kills 99.9% of PAP bacteria without water or any chemicals.
- Completely Automated  
Just drop your mask in – disinfects mask, tubing & PAP (it's so cool!)
- No water or chemicals  
Save time and fuss every day
- People rave about it!

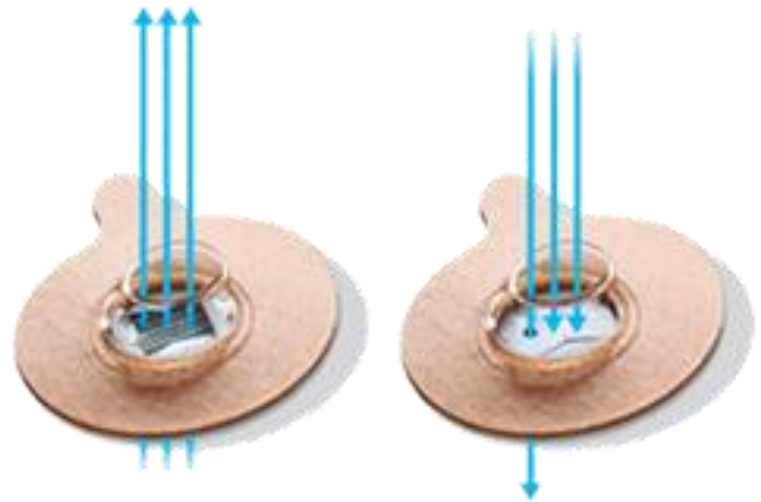




# Alternative Tx for OSA

- Mandibular Advancement Device
- Provent
  - Theravent for just snoring
- Winx Sleep Therapy System

# Provent Sleep Apnea Therapy



# Theravent for Snoring



# Winx Sleep Therapy System

- Light Oral Vacuum
- Soft, flexible mouthpiece



# Interruptions to Sleep

- Pain: Discuss pain management with your MD
- Hot flashes in women: Discuss Hormone Replacement Treatment with your MD
- Restless Leg Syndrome:
  - Medications
  - Relaxis Pad – Vibrating counterstimulation
- Periodic Limb Movement Disorder: Treatment is with medication

# Hypoventilation

The problem with PPS is more likely **Hypoventilation**, not Sleep Apnea

# **“When can’t breathe, nothing else matters”**

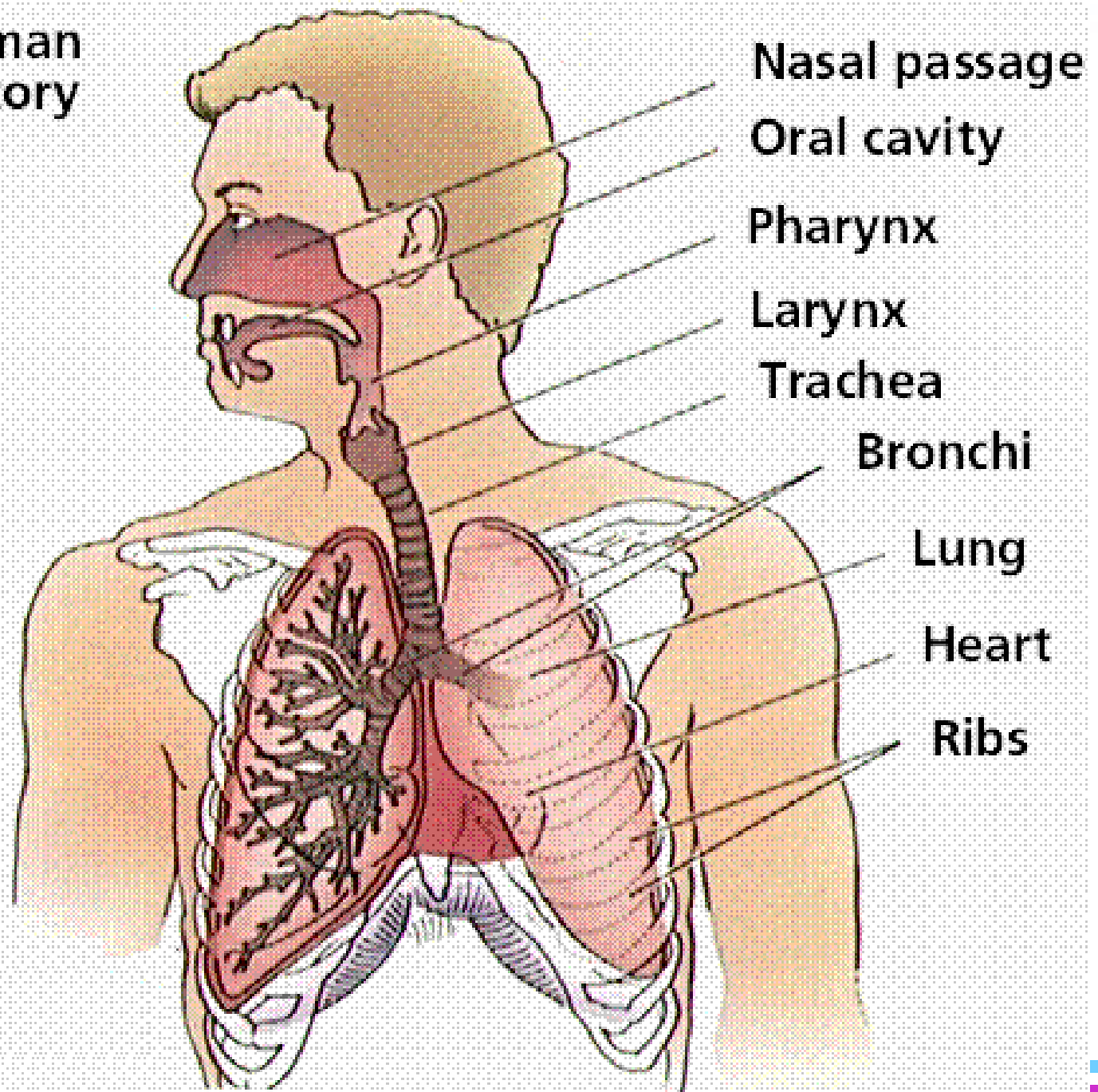
- Motto of the American Lung Association
- Puts one’s priorities in perspective
- It is therefore important to maintain good pulmonary health by monitoring yourself, get treated if warranted & preventing emergency situations

# Respiratory Physiology Review

Respiratory Tract:  
Nose & Tracheal Bronchial Tree

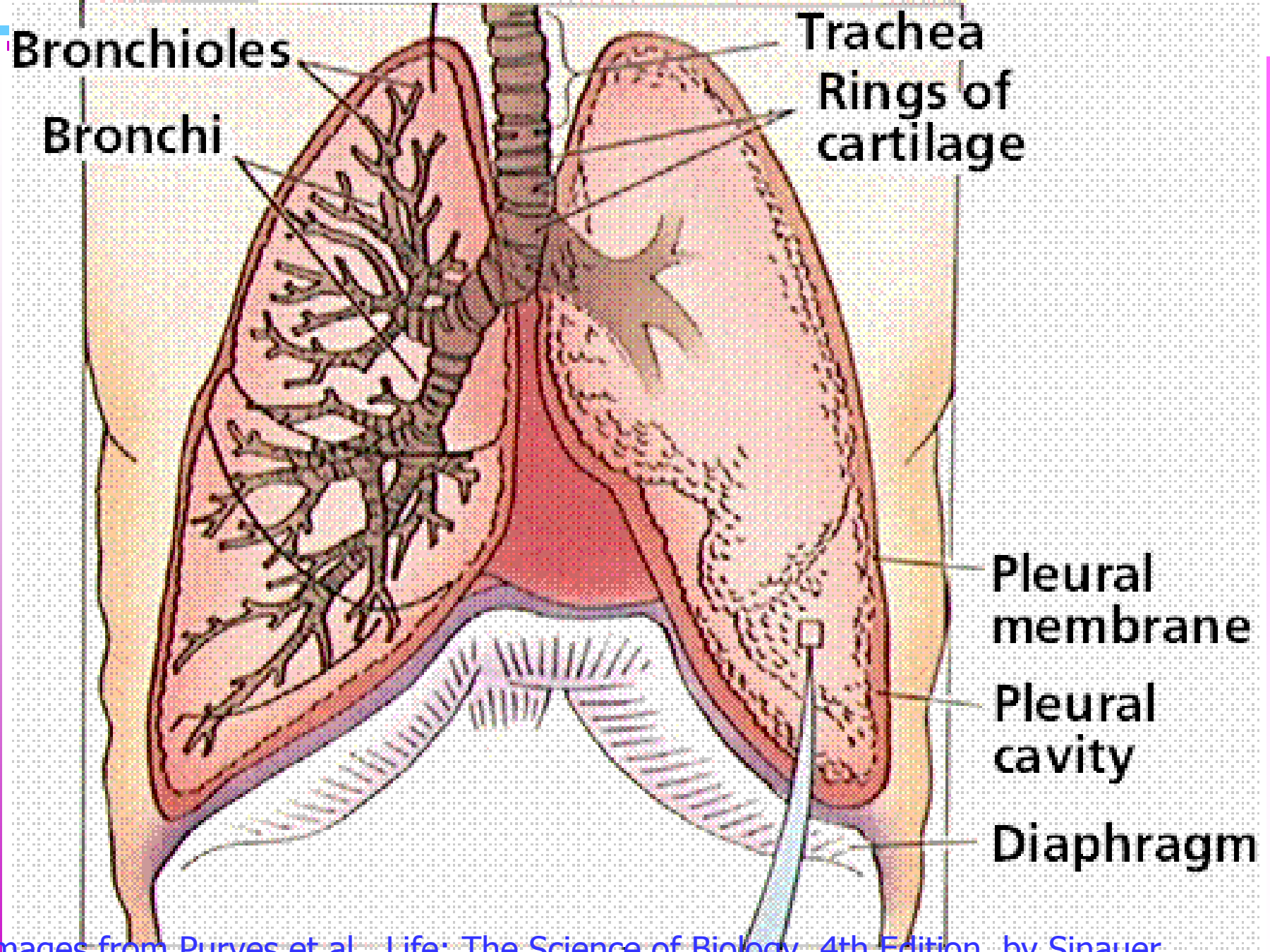


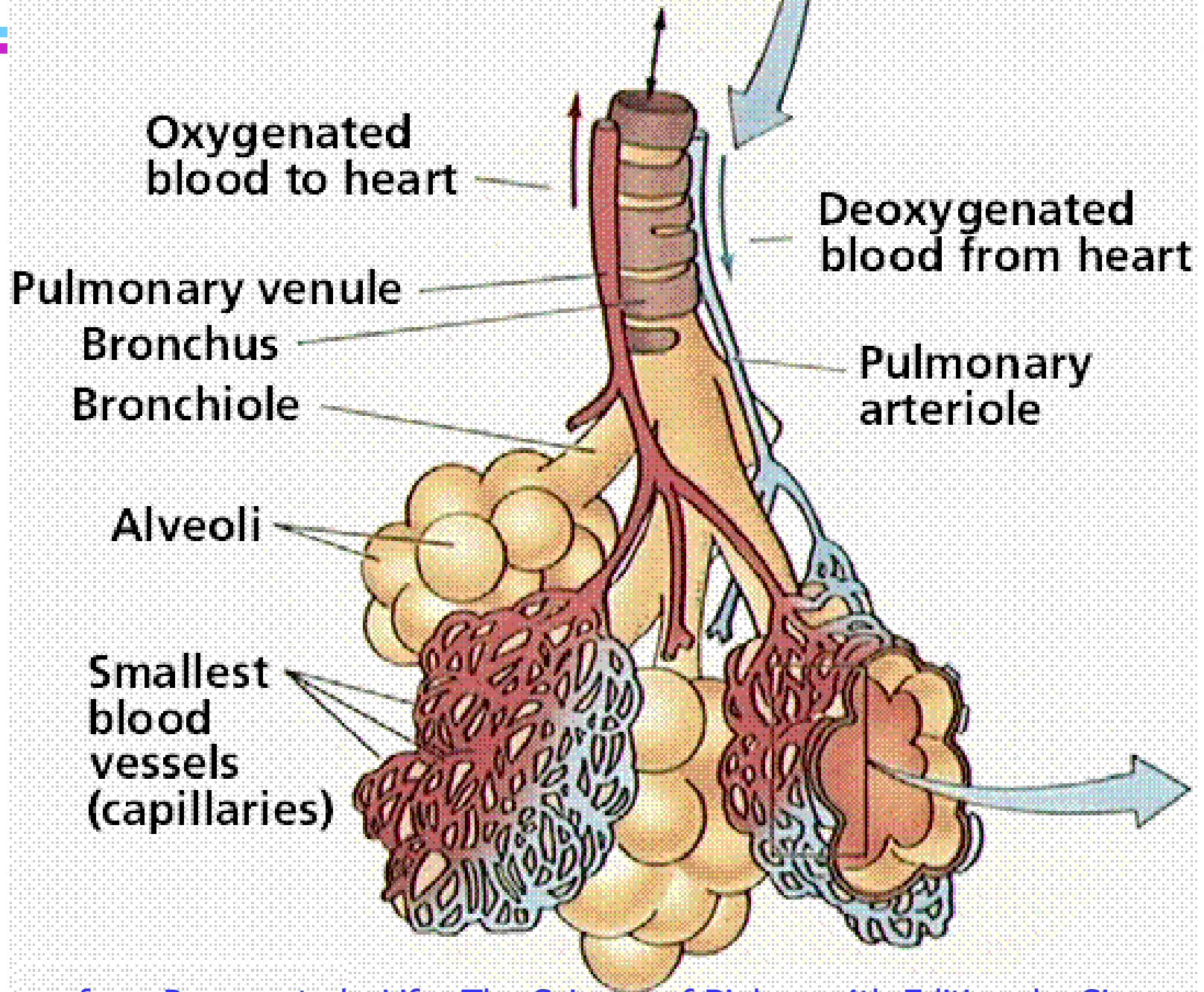
# The Human Respiratory System

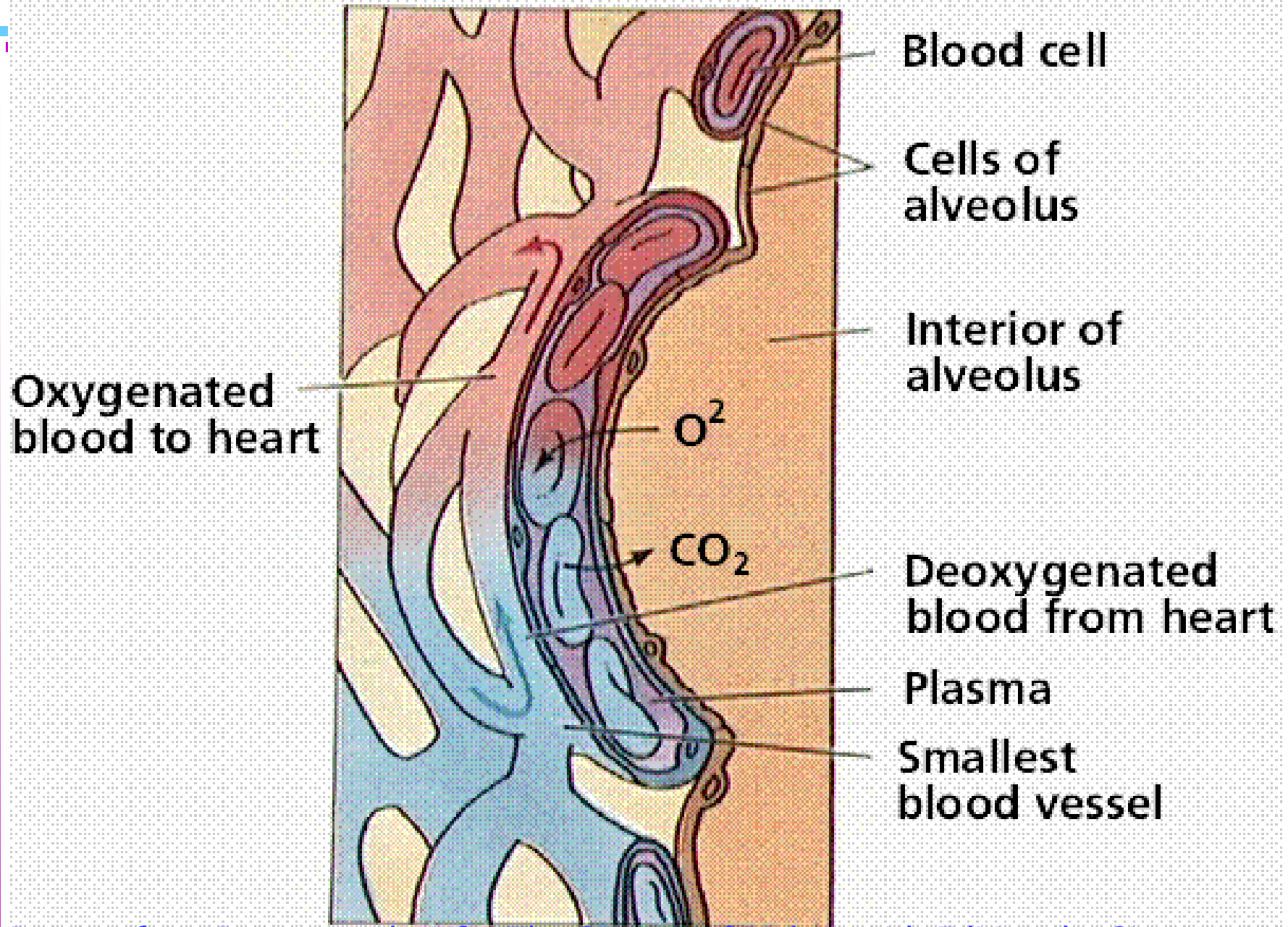


# Respiratory Physiology Review

Lungs: Where gas exchange takes place  
(the oxygen & carbon dioxide do-see-do)



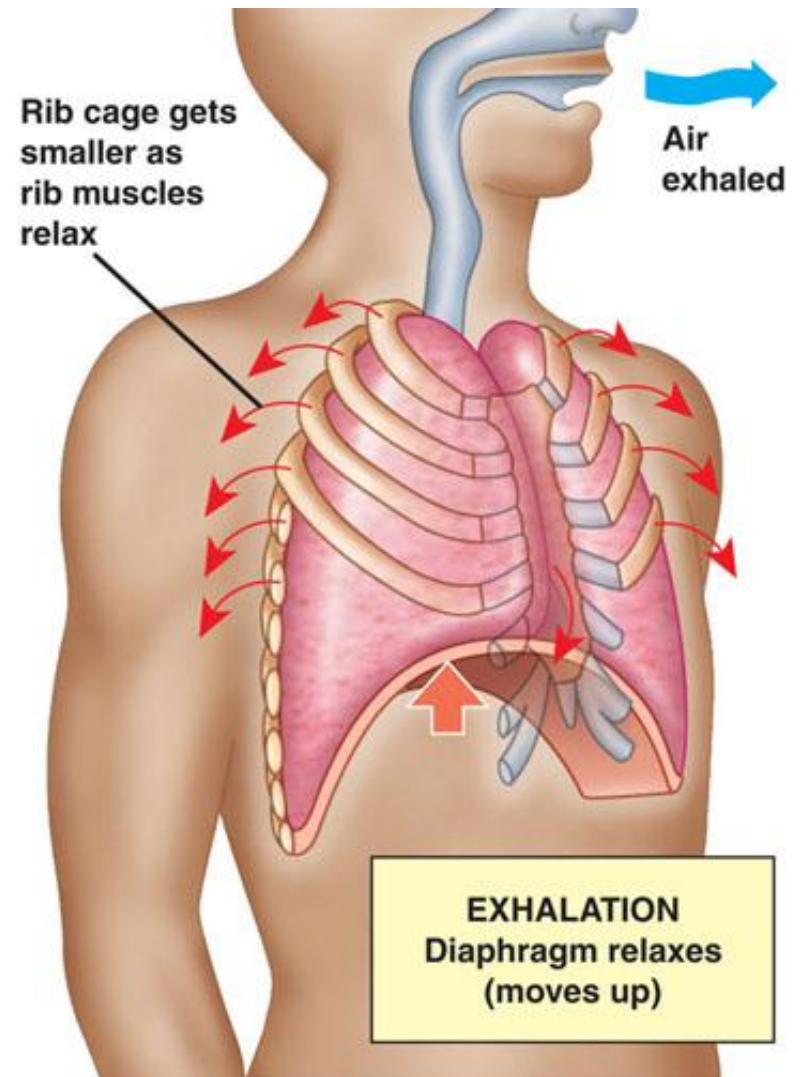
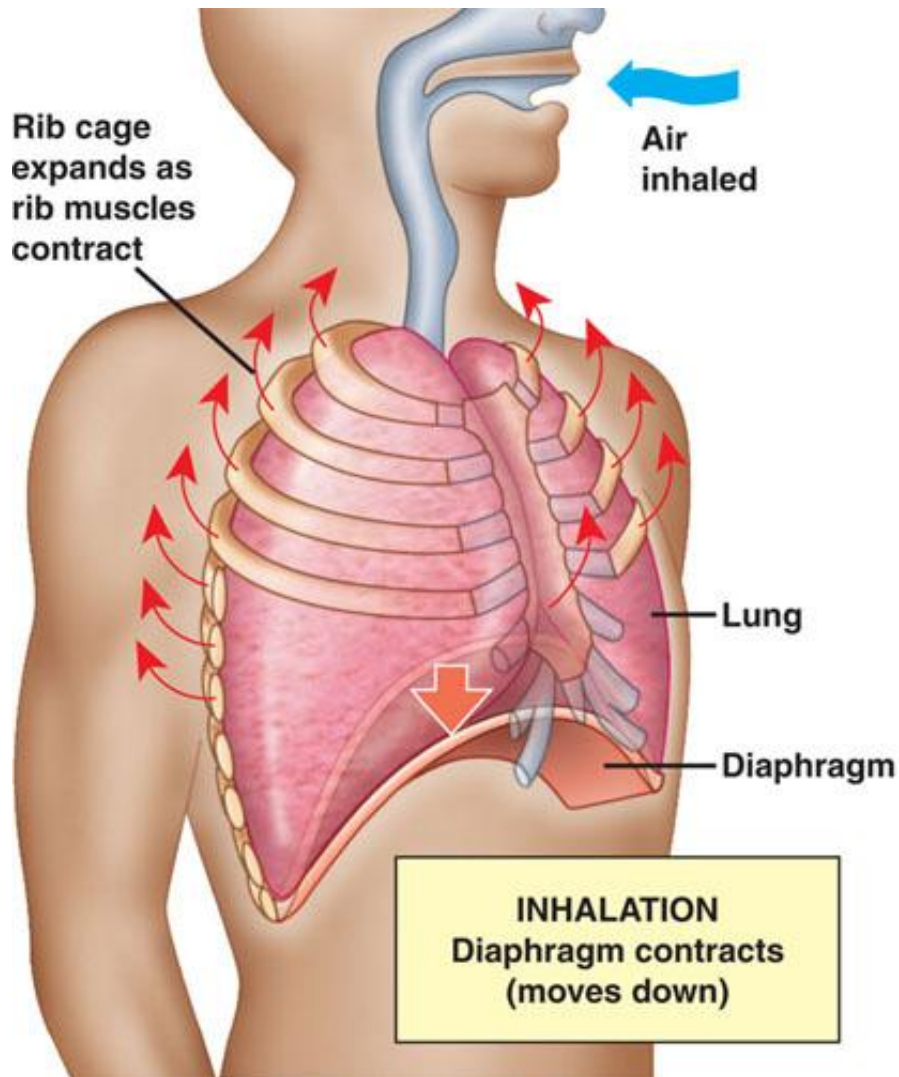




Images from Purves et al., [Life: The Science of Biology](#), 4th Edition, by Sinauer Associates

# Respiratory Physiology Review

## Mechanics of Breathing



# Chemoreceptor Control of Breathing

- Chemoreceptors in the back of our brain respond to (stimulate us to breathe more):
  - Low levels of oxygen
  - High levels of carbon dioxide
    - If a person has is a chronically high level of CO<sub>2</sub>, they no longer respond to high levels of CO<sub>2</sub>.
    - If their oxygen level is also low, they are stimulated to breathe by an oxygen want drive
      - If they also need extra some oxygen to breath safely they have to be careful not to get too much oxygen
      - If they are given too much oxygen, this will suppress their drive to breathe. They will stop breathing.
    - Some people with PPS & respiratory insufficiency need to be careful not to get too much O<sub>2</sub>



# Hypoventilation

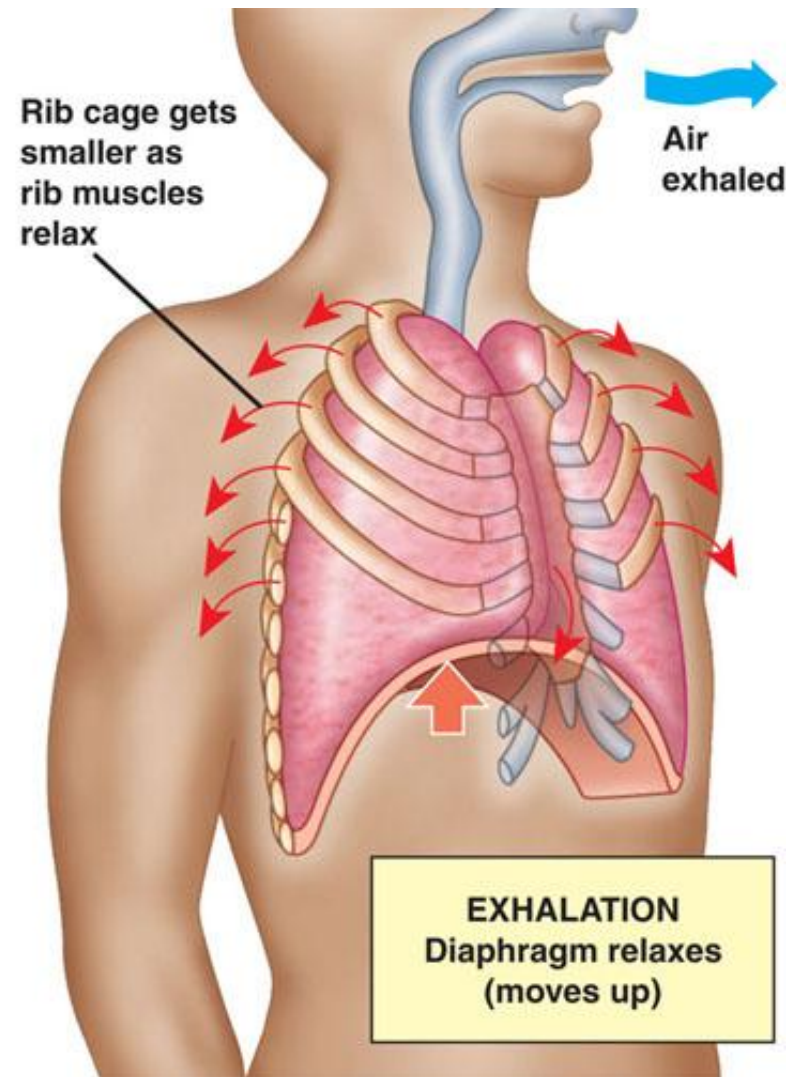
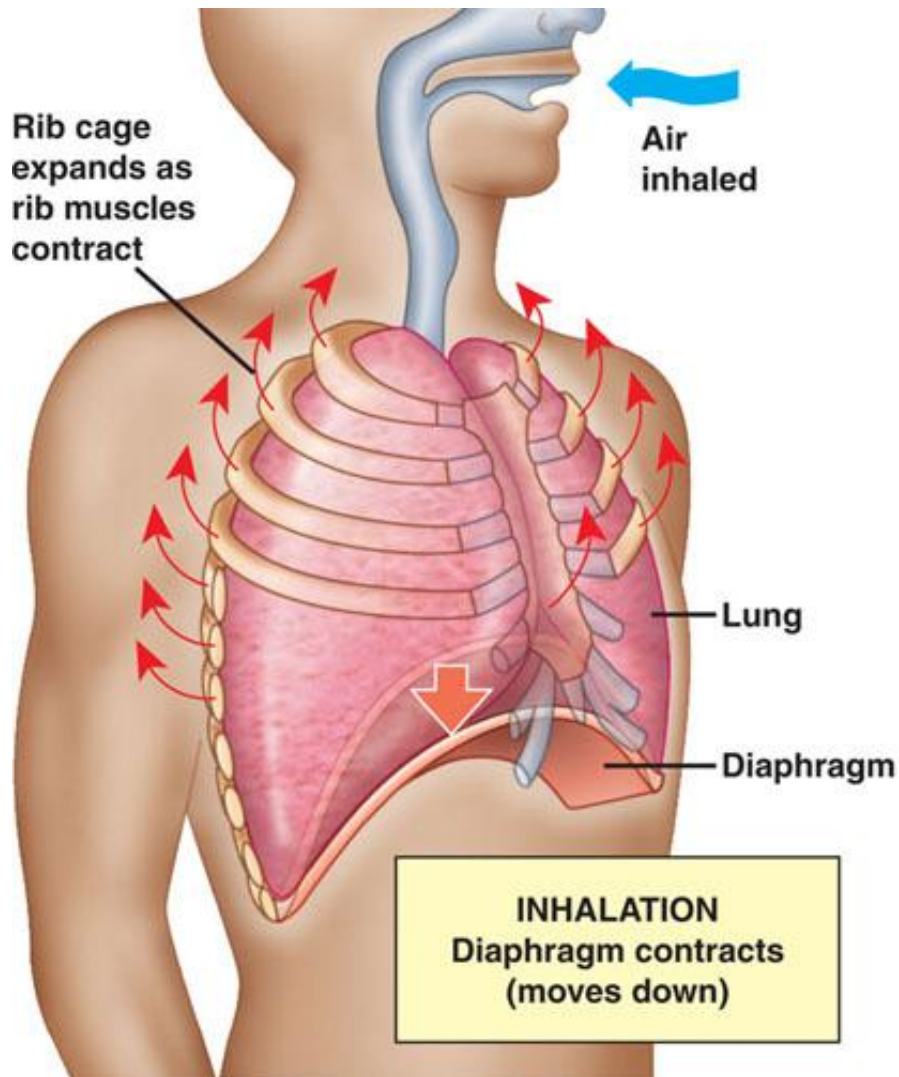
Definition: A respiratory problem where people intake air at inadequate levels for meeting metabolic needs, causing the amount of carbon dioxide in the body to rise.

# Hypoventilation

- AKA Respiratory Insufficiency
- Not breathing adequately - each breath is too small
- What happens:
  - Carbon dioxide goes up
  - Oxygen can go down

# Common PP Respiratory Limitations

- Weakened breathing muscles
- Thoracic abnormalities restrict breathing (Restrictive Thoracic Disorder)
- GERD: Gastroesophageal Reflux Disease ("heart burn")
  - Predisposes one to aspiration pneumonia
  - Excellent medications available
- COPD (Chronic Obstructive Pulmonary Disease) can be a component: bronchitis, asthma and/or emphysema



# Daytime Symptoms of Hypoventilation

- Shortness of breath on exertion
- Fatigue or exhaustion from normal activities
- Daytime sleepiness
- Poor memory & difficulty concentrating
- Decreased cough effectiveness
- Decreased voice volume, slurred speech
- Pulse Oximeter owners: Drop in oxygen saturation
  - We like people to have an O2 saturation above 90%
  - BUT**
  - An O2 saturation above 90% doesn't mean you are breathing okay. CO2 still might be too high!

# Sleep Associated Symptoms of Hypoventilation

- **Orthopnea** – Needing to sleep sitting up. Can't breathe well lying down.
  - Reason: Gravity helps your diaphragm move down. When you lie down, gravity can't help
- Morning headaches
- Restlessness with frequent arousals
- Non-restorative sleep
- Snoring with pauses in breathing (could be Obstructive Sleep Apnea)

# **Symptoms of Hypoventilation Among Ventilator Users**

- If on pressure control: getting less volume
- If on volume control: peak pressure increase

# Diagnosing Hypoventilation: (Medicare BPAP S/T Coverage Rules)

1. Diagnosis of a neuromuscular disease (PPS qualifies)

2. Pulmonary Function Tests (PFTs) - Ask that it be done while you are lying down

A. Force Vital Capacity (FVC):

- Less than 50% of Predicted

OR

B. Maximum Inspiratory Capacity (MIP):

- Less than -50 mm Hg

OR

3. Arterial Blood Gas Test

- PCO2 of 45 mm Hg or greater



# Pulmonary Function Tests

- Pulmonary Function Test: A group of tests that measure how well your lungs & respiratory muscles work
  - Spirometry: Measures the amount of air you breathe in and out & the speed with which you can exhale the air.
- Do PFTs upright & supine! Postural differences is normally < 8%
- Forced Vital Capacity – Looking for downward trend & <50% predicted to qualify for Medicare coverage
- MIP
  - <-60 cm H<sub>2</sub>O for Medicare coverage
  - May be the most sensitive measurement
- Difficult to measure if bulbar symptoms are significant

# Sleep Studies

- Poses a hardship on NM patients
- Not usually necessary
  - Goal is to identify hypoventilation, not apnea. PSGT doesn't (usually) monitor hypoventilation
  - PSGT have apnea/hypopnea mission
  - Not needed for Medicare
- Needed for straight Medi-Cal? I believe so. If so ask for CO2 monitoring!

# Problem With Standard Sleep Studies for PPS

- They are on an **apnea mission**. The goals are:
  - To determine if a person has apnea
  - What type & severity of the apnea
  - What the positive pressure sleep machine should be set at to treat the apnea
- **Hypoventilation is rarely monitored**
  - Sleep Techs are frequently not Respiratory Therapists
  - The degree of ventilation is not monitored
  - Sometimes Carbon Dioxide levels are monitored using:
    - End Tidal CO2 monitor
    - Transcutaneous CO2 monitor

# Sleep Study Problems for PPS

- Results indicate OSA so BPAP S is prescribed
  - They don't do optimally well
  - Switched to S/T or AVAPS – they feel better & do better but I can't prove it
- Sometimes sleep study results look like CSA. It's okay. It qualifies for getting a BPAP S/T but don't get an Auto Servo

# **Treatment of Hypoventilation: Noninvasive Ventilation**

# Respiratory Disease Management

- Expert Respiratory Care is essential for the proper treatment of people who require respiratory support.
- Handout will be provided. Summary:
- “Titrate to patient comfort”. Many companies will not accept this type of order. MD cannot know what the ideal settings are. They can give parameters.
- Assessment & Reassessment of the Patient
- Be able to administer different phases of treatment, perhaps starting out slow then adjusting as the patient becomes acclimated, stable times monitoring, take action with acute changes.
- Expert mask fitting with a wide selection of masks.
- Good communication with MD, family & others

# Noninvasive Ventilation

- Provide noninvasive ventilatory support
- Provides larger, pressure supported, or volume supported effective breaths to maintain a normal PCO<sub>2</sub> and PO<sub>2</sub>
- Sleep peacefully
- Feel better, more energetic
- Maintain an active lifestyle

# Negative Pressure Ventilation +

- Negative Pressure Ventilation
  - Iron Lung
  - Cuirass
  - Pneumowrap
- Pneumobelt
- Rocking Bed
- Glossopharyngeal Breathing (Frog Breathing)



# Advantages of NIV

- Provides relief from SOB
- Easy to use
- Noninvasive
- Portable
- Bilevel NIV - Entry Level Device: Gives the person an opportunity to try out assisted breathing w/o committing to invasive ventilation via tracheostomy

# **Different Types of NIV Noninvasive Positive Pressure Ventilation**

- Bilevel PAP S/T
- AVAPS BiPAP
- Mouthpiece Bilevel Ventilation
- Hybrid Volume Ventilator
- Volume Ventilation via Mouthpiece
  - “Sip & Puff”

# NIV Devices

- “BiPAP” S/T (Trademark of Philips Respironics)
  - Bilevel Postive Airway Pressure provides Pressure Support Ventilation
    - Set **IPAP: Inspiratory PAP**
    - Set **EPAP: Expiratory PAP**
    - The difference between the IPAP & EPAP determines the size of each breath
  - **S/T means** it has a **Timed Backup Rate**
    - “S”: Spontaneous
    - “T”: Timed
  - **DO NOT** order Bilevel PAP S!!!! NO B/U Rate!  
Don’t let the insurance companies switch!

# Standard Bilevel PAP S/Ts

- Present models
  - Philips Respironics DreamStation BiPAP S/T
  - ResMed AirCurve 10 ST-A
- Old models:
  - Philips Respironics System 1 BiPAP S/T
  - Respironics BiPAP S/T & Liberty
  - Respironics Synchrony
  - ResMed S9 VPAP ST-A
- Disadvantage of standard Bilevel PAP S/T
  - Don't know if we are ventilating adequately
  - As NM condition progresses, need press changes

# **Philips Respironics BiPAP AVAPS™:** **Average Volume Assured Pressure Support**

- Bilevel PAP S/T: Size of each breath is not set & sometimes the patient can't extract a large enough breath as their condition deteriorates (end stage ALS)
- AVAPS: Can set Tidal Volume. IPAP adjusts to deliver each breath
- **Prescribe: Tidal Volume, EPAP, Minimum and Maximum IPAP, Back-up Rate**

# **Philips Respironics DreamStation BiPAP AVAPS™ Monitoring**

- Can remotely monitor patient and adjust settings via wireless modem

# ResMed iVAPS

- **Intelligent Volume Assured Pressure Support**
  - Targets alveolar ventilation and intelligent Back-up Rate (iBR)
  - Accounts for anatomical deadspace

# Initiating Bilevel NIV Treatment: The Window of Opportunity

- **Medicare Criteria** (found in the Local Coverage Decision (LCD) for Respiratory Assist Device (RAD). Not required for coverage of volume ventilators but a good idea to justify order
  - **Diagnosis** - Progressive Neuromuscular Disorder **& one** of the following test results
    - **FVC: Forced Vital Capacity** <50% predicted.  
**Measure lying down (if close) !!!**  
**OR**
      - **MIP: Maximum Inspiratory Pressure of** <60 cm H<sub>2</sub>O.  
**Measure lying down (if close) !!!**  
**OR**
        - **ABG: Arterial Blood Gas:** PCO<sub>2</sub> >45 mm Hg



# Initiating NIV Treatment: The Window of Opportunity

- When to start: Better too early than too late
  - When symptoms first appear
  - Before symptoms are severe & and an emergency situation occurs
- Emergency situation
  - Counsel patient & family of what can occur so they can make informed choices

# Some Reasons to Start NIV Early

- Improve QOL
- Correct hypoventilation during sleep, treat SDB, allow respiratory centers to regain normal function, reverse cascade of sleep related events
- Provide stability to the upper airway
- Avoid acute respiratory failure, crisis decision making and vulnerability to RF from an acute respiratory infection
- Provide time to learn needed skills
- Rest weak diaphragm ?

# WARNING!!!

- **DON'T let it become an EMERGENCY!**
- Recognize the early, chronic signs while you can make careful, informed decisions!
- Let your decision makers know your wishes!
  - **Detailed** Advanced Directive for Healthcare
    - Intubation, trach
    - Feeding tube

# Philips Respironics Trilogy 100 Ventilator

- Hybrid Ventilator
- Delivers all modes of invasive ventilation & noninvasive ventilation with special characteristics over BiPAP S/T & standard AVAPS BiPAP
  - AVAPS BiPAP
  - AVAPS BiPAP Mouthpiece Ventilation Mode (MPV)
  - AVAPS AutoEPAP (AE): Ventilation Mode specifically designed for patient who have severe upper airway dysfunction & need an automatic range of expiratory pressure adjustment in order to adequately ventilate them. Bulbar ALS patients have this special need.
  - BilevelPAP S/T
  - Volume Ventilation
- AutoTrak Sensitive (ATS) for people with weak respiratory effort

# Philips Respironics Trilogy 100 Ventilator

- Daytime & Night time setting
- Passive Circuit
  - Use with Bilevel & AVAPS NIV modes
  - Can use masks with standard exhalation ports
    - Huge choice of masks – same as those used for OSA
- Active Circuit
  - Use for volume ventilation modes & invasive ventilation
  - Have exhalation valves, therefore...
  - Masks should NOT have exhalation ports
    - Very few to choose from
- Safety of internal battery backup should power fail
- Extra, detachable batteries

# Philips Respironics Trilogy 100 Ventilator



# Other Volume Ventilators

- Pulmonetic LTV 1150 (950 will no longer be serviced)
- Newport HT70 Plus
- Breas Vivo 50
- ResMed Astral 100

# Viasys Pulmonetic LTV 1150





# Newport HT70 Plus



# ResMed Astral 100 Ventilator



# Breas Vivo 50 Ventilator



# NIV via Volume Ventilator

- Mouthpiece Ventilation requires customization – not “off-the-shelf” ready with one exception from PR (will show Px)
- With Active Circuits, Masks can't have exhalation ports so mask choices are limited
- Need daytime settings without alarms & different night time settings with alarms
- Sometimes have to “cheat” the vent so it doesn't alarm for MP ventilation

# Mouthpiece Ventilation via Volume Ventilator

- Volume Ventilators (usually used via trach)
  - Portable
  - Have built-in batteries
- Delivers a set Tidal Volume
- Offers various modes of ventilation
- Has an Exhalation Valve: Tidal Volume is delivered then closes for exhalation
  - Patient inhales through mouthpiece & can remove mouth from mouthpiece to exhale & talk
  - Referred to as "Sip & Puff"

# What is Sip and Puff Ventilation?

- It does not involve sipping ***and*** puffing.
  - ***It's just SIPPING.***
- The set-up looks similar to a Sip 'n' Puff switch
- The vent user "sips" on an interface to initiate a ventilator-supported breath.
- They take the "straw" out of their mouth after taking one or more breaths
- It generally applies to daytime application only.
- Nighttime ventilation is delivered via a secure noninvasive interface.

# What is Sip and Puff Ventilation?



July 2008







# NIV Limitations?

- NIV is generally NOT viewed as life support although many people have & do live many years via NIV
- Patients with severe bulbar symptoms may need TPPV
- For some NM patients (like ALS) Bilevel Pressure Support ventilation becomes ineffective and invasive ventilation via trach needs to be seriously considered
  - Has AVAPS made a difference? Possibly.

“ people with progressive muscle weakness have 3 options...

- Do nothing
  - This ultimately results in death from respiratory failure.
- Tracheostomy
- **Noninvasive ventilatory support to facilitate both breathing and coughing**

**John Bach, M.D.**

# Which NIV Should I Request?

## AVAPS Bilevel or a Volume Ventilator?

- **Advantages of AVAPS Bilevel**

- Entry level, small, less intimidating device
- Less expensive

- **Disadvantages**

- It can't do mouthpiece ventilation
- No built-in or detachable battery. Can buy an external one
- If Medicare, unlikely to receive good Respiratory Care Service because you can't choose any DME company; must pick from a company that has a Competitive Bid Medicare Contract
- Does not come with a battery (but patient can buy one to add on)
- After 13 months, unit is owned, DME has no obligation to see patient
- No coverage for 2<sup>nd</sup> unit

# **Which NIV Should I Request?**

## **AVAPS Bilevel or Volume?**

- Advantages of Volume Ventilator (assuming Medicare Coverage)
  - Covered by Medicare by any company you choose. Not affected by Medicare Competitive Bidding
  - Respiratory Care Service is much better & stays with patient for as long as they have the vent
  - Has built-in & switchable battery
  - Mouthpiece Ventilation Mode
  - Many modes of ventilation to choose from with many alarms
  - Daytime settings and separate night time settings.
  - Can get a 2<sup>nd</sup> ventilator if used with a wheelchair (one at bedside and one on wheelchair)
  - Can breath stack & cough

# **Which NIV Should I Request?**

## **AVAPS Bilevel or Volume?**

- Disadvantages of Volume Ventilator (assuming Medicare Coverage)
  - Far more expensive
  - Larger

# Take Charge, Not Chances!

- Be prepared at ALL TIMES should you need to be hospitalized!!!!
- Hospital personnel are unfamiliar with people who have PPS!!!! You need to educate them!!!!
- **Take Charge, Not Chances** - Developed for ventilator users through a grant to IVUN
- Adapt it for your needs

# Take Charge, Not Chances!

## Four Documents

- Patient's Vital Information for Medical Staff:  
<http://www.ventusers.org/vume/PatientInfo.pdf>
- Treating Neuromuscular Patients Who Use Home Ventilation: Critical Issues  
<http://www.ventusers.org/vume/TreatingNeuroPatients.pdf>
- Home Ventilator User's Emergency Preparation Checklist:  
<http://www.ventusers.org/vume/HomeVentuserChecklist.pdf>
- Caregiver's Emergency Preparation Checklist:  
<http://www.ventusers.org/vume/CaregiversChecklist.pdf>

# Medicare Coverage

It is complicated. Must dot the “i”s and cross the “T”s otherwise the provider doesn’t get paid.



# Problems With Medicare Coverage of BPAP S/T or AVAPS BPAP

- Medicare does not pay for the Respiratory Care Services; we sort of “come with the equipment”
- Can only go to a DME company that has a Medicare Competitive Bid Contract
  - This highly flawed program has compromised patient access & care
  - They are not being paid very well, therefore they are going to provide minimal Respiratory Care Service
  - Not known for great service
- Device is rented for 13 months after which the patient owns it & the supplier has no obligation to provide any service.

# Medicare NIV Choices as Dictated by Medicare

- Bilevel PAP S/T-As : Must use CB Contracted Provider. Unlikely to get attentive Respiratory Care Services.
- Volume Ventilators, Cough Assist & Suction: Can use provider of your choice (as long as you provide all the required documentation and orders).

# Medicare Face-to-Face Requirements (Part of ACA)

- Must have Detailed Written Order Prior to Delivery (DWOPD)!!! (Being enforced now)
- Face-to-face Requirement
  - F2F must be done within 6 months before the order is written for DME
  - Thorough clinical documentation in Clinical Notes
  - Must medically justify why the patient needs the volume ventilator over a Bilevel PAP (or Cough Assist)...and many other DME devices!!!
- 2<sup>nd</sup> Ventilator
  - Don't refer to it as a "back-up" – it's not covered
  - Medicare will cover it if used on a wheelchair. Must prove you have a wheelchair

# Open Ended Rx for NIV With Ranges

- AVAPS BiPAP: Titrate to patient comfort
- Respiratory Assessments & Monitoring PRN: Pulse oximetry, End Tidal CO<sub>2</sub>, Breath Sounds
- Back-up Rate: : 2-4 breaths less than patient's resting Respiratory Rate
- Tidal Volume: 200-1200 ml
- Pressure Support: 5+ cm H<sub>2</sub>O
- IPAP max: 9-30 cm H<sub>2</sub>O
- EPAP min: 3-6 cm H<sub>2</sub>O
  
- **Problem: Some companies will not accept open ended orders!**

# Goals & Purpose of NM Respiratory Disease Management

- Assisted Ventilation
- Keep a Clear Airway

# **Prevent & Treat Atelectasis**

## **Maintain a Clear Airway**

- Prevent & treat atelectasis & respiratory tract infection (RTI). Keep the lungs expanded via:
  - Breath Stacking with an Ambu Bag or a Volume Ventilator
- Keep airway clear via:
  - Cough Assist Device (AKA In-Exsufflator)
  - Manual Breath Stacking/Cough Assistance
  - Suction

# Breath Stacking for Chest Range of Motion & Effective Coughing

- Take a breath...& hold it
- Take a second breath... & hold it
- Take a third breath... & hold it
  - For **Chest Range of Motion:** exhale slowly through pursed lips
  - For **Effective Coughing:** cough and push on abdomen

# Breath Stacking via Ambu Bag

- Via mouthpiece or mask
- Hold mask by standing behind the patient
- Hold mask securely so it doesn't leak
- Coordinate bag inflation with patient's breathing efforts
- Give verbal directions & forewarn bag inflation: "1, 2, 3, inhale 1, hold, inhale 2, hold, inhale 3, hold and exhale (or cough)" the latter with or without abdominal thrust



# Philips Respironics Cough Assist T70

- **Objective Measurement:**
  - Peak Cough Flow <270 LPM
- **Subjective**
  - Weak cough
  - C/O difficulty clearing secretions
  - History of airway emergency
- **Medicare requires a qualifying diagnosis and symptoms of impaired cough**
- **NM patient may need Cough Assist before a ventilator**

# Cough Assist Device

- “Treadmill for your lungs” Betsy Thomason, RRT
- Deliver via MP, FFMask or Trach
- Set pressures plus & minus 35-45 cm H<sub>2</sub>O
- Hold mask on or via mouthpiece
- Quick inspiratory then expiratory then pause
- Sequence of about 4-6 cough cycles
- Rest 20 to 30 seconds between Sequences for secretion removal via expectoration or suction
- Rest
- A treatment is composed of 4 to 6 sequences
- Use one to several times a day and PRN; morning, before meals and before bedtime

# Philips Respironics Cough Assist T70



# Portable Suction

- Evacuates secretions from the mouth (use Yankour attachment) and lungs (use suction catheter)
- Some have built-in battery
- Can get a toothbrush attachment
- Other attachments

# Interface Fitting



# Expert Mask Fitting = Successful Treatment & Adherence

- Comprehensive Mask Fitting is **one critical element** of Sleep & Respiratory Disease Management that leads to a high level of treatment adherence
  - If the patient is comfortable, they are most likely to use the PAP

# **Many Interfaces Now Available Skilled Fitting is Vital**

- Many excellent choice are now available
- Each interface has its own unique advantages and disadvantages; none are perfect
- Takes the skill of an experienced Respiratory Therapist to properly fit and give the patient an informed choice
- Need to have a wide selection of masks for the patient to try on

# Comfortable Interface = Successful Treatment

- The devil **IS** in the details
- Paying attention to details of expert interface fitting results in the patient being comfortable with their mask
- If they are comfortable with their interface, they are likely to acclimate to their treatment and enjoy the benefits of restful sleep



# Interface Fitting Goals

- Comfortable
- Easy to Use
  - Easy to take on & off
  - Easy to manipulate
- Effective
  - Deliver prescribe therapeutic pressure(s) or volume

# Assessment: Physical & Psychological

- Determine Physical Limitations
  - Manual dexterity – Some people challenged
    - Veto masks w/small parts
    - Stretchy headgears may be easier to put on
  - Ability to raise arms
  - Other physical limitations
- Allergies or sinus problems? May need a Heated Humidifier
- Read to initiate sleep? Need glasses?
- Claustrophobic?
- Sleep posture
- Preferences

# **Provide Informed Individualized Choices**

- Give informed choices from a wide selection of masks
- Interface should be tried on with patient lying in their normal sleep position(s), with the device on at the prescribed pressure(s)

# Starting Point for Fitting: Assess How the Patient Breathes

- Determination of how patient breathes focuses the interface choices
  - Nose Breather
  - Nose Breather who leaks out of their lips or mouth
  - Mouth Breather
- How to determine this
  - Ask them
  - Observe how they breathe while talking with them

# Avoid Excessive Mouth Leaks

- Large mouth leaks
  - Create High unilateral airflow causing
    - Dry mouth
    - Vasomotor rhinitis
    - Increased airway resistance
- Defeats the treatment
  - Loss of pressure
  - Patient is uncomfortable
- How to recognize it
  - Patient reports very dry mouth (“Felt like a dog that ate peanut butter”)
  - Using up all the water in their heated humidifier

# Mouth Leakage Solutions

- Avoidance - If you know that patient is a mouth breather, fit with
  - Full Face Mask
  - Oral Interface
- If the patient is a nose breather but just leaks out of their mouth, fit with
  - A nasal interface/chin strap combination

# Avoid Leaks into the Eyes

- Avoid leaks into the eyes
  - Causes dry eyes
  - Causes Conjunctivitis
- Solutions
  - Choose right size mask – Very important!
  - Use nostril style interface
  - When all else fails, use night shade

# Interface Fitting Problems

## Try to Avoid

- Allergic Skin Reactions or Irritation (hard to predict)
  - Patient may report allergy to mask & headgear material
  - Wash mask before initial use
  - Change interface or mask
  - Use barrier cream - Sween Cream
- Patient discomfort



# Interface Categories

- Nasal Pillow Style
- Nasal Mask
- Full Face Mask
- Oral Interfaces

# Nasal Pillow Style Interfaces

- Fit into nostrils
- Held in place with straps or dental retainer (rare)
- Best Suited For:
  - Nose Breather or Nose Breather/Mouth-Lip Leaker used with Chin Strap
  - Those with prominent noses/high nose bridges
  - Patients who require lower pressures unless a Heated Humidifier is used
  - The claustrophobic

# Nasal Pillow Type Interface

- Advantages
  - Least invasive
  - Easy to take on & off
  - Some have clear line of vision
  - Can wear glasses with some
  - Some have no straps on face
  - Avoids nose bridge irritation
- Disadvantages
  - Can cause nostrils to widen
  - Direct flow of air may be irritating especially at high prescribed pressures.
  - Soreness – Use nasal gels designed for that purpose

# Nostrils



- Width
- Position
- Size - Small, Medium or Large
- Shape - Oval, round or uneven

# **ResMed AirFit P10™**

## **For Him & For Her**



# ResMed Swift™FX



# ResMed Swift™ FX for Her



# Fisher & Paykel Opus™ 360 Nasal Pillow Mask





# Philips Respironics DreamWear & Gel Pillows



# Philips Respironics Nuance™ Gel Nasal Pillow Mask



# Puritan Bennett Breeze™



# CPAP Pro Oral Nasal Interface™



# CPAP Pro Boil & Bite Dental Retainer



# TAP PAP Nasal Pillow Mask

Clear the Way  
for Compliance.

INTRODUCING THE

**TAP<sup>®</sup> PAP** Nasal Pillow Mask



Medicare (PDAC) code verified



# **TAP PAP Nasal Pillow Mask**



# Nasal Mask Interface

- Fits around the nose
- Held in place with strap
- Some have adjustable forehead mechanism for proper fit
- Some have double cushions:
  - Bubble Effect: Air is trapped between the two cushions to seal w/o putting undue pressure on bridge of nose
  - Over tightening the strap can literally burst the bubble



# **Nasal Mask Best Suited For:**

- Nose Breathers or Nose Breather/  
Mouth-Lip Leaker if used with a Chin  
Strap
- For those who aren't comfortable with  
Nostril Type
- Those who wants to avoid stretched  
nostrils

# Nasal Mask Interface (Cont.)

- Advantages
  - Largest interface selection
  - Indirect air flow is less irritating
  - Some have clear line of vision
  - Can wear glasses with some
- Disadvantages
  - Can blow in eyes
  - Can cause nose bridge ulcer
  - Leave marks on face

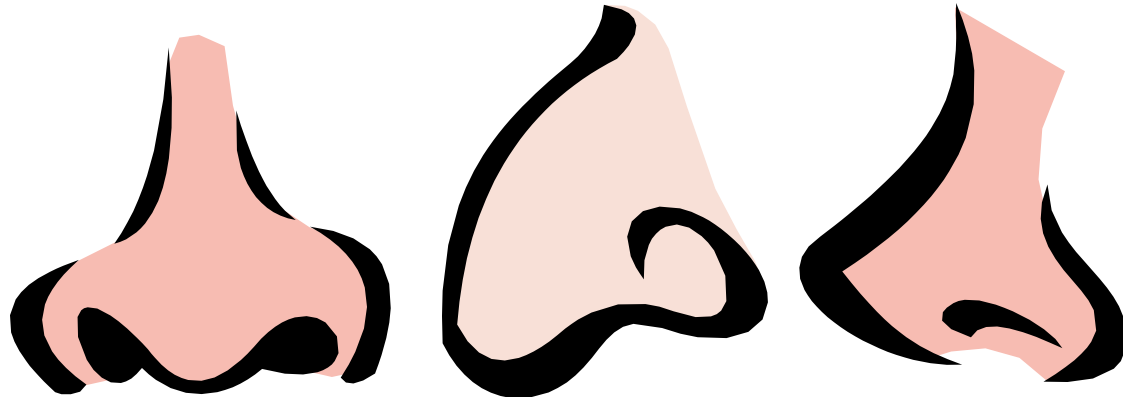
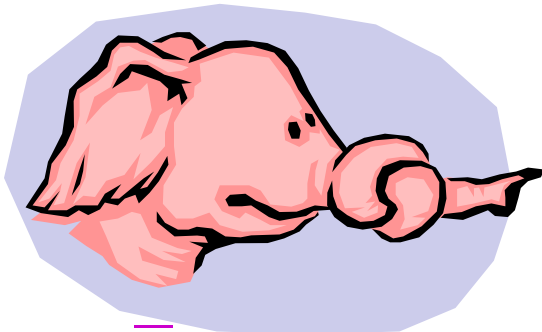
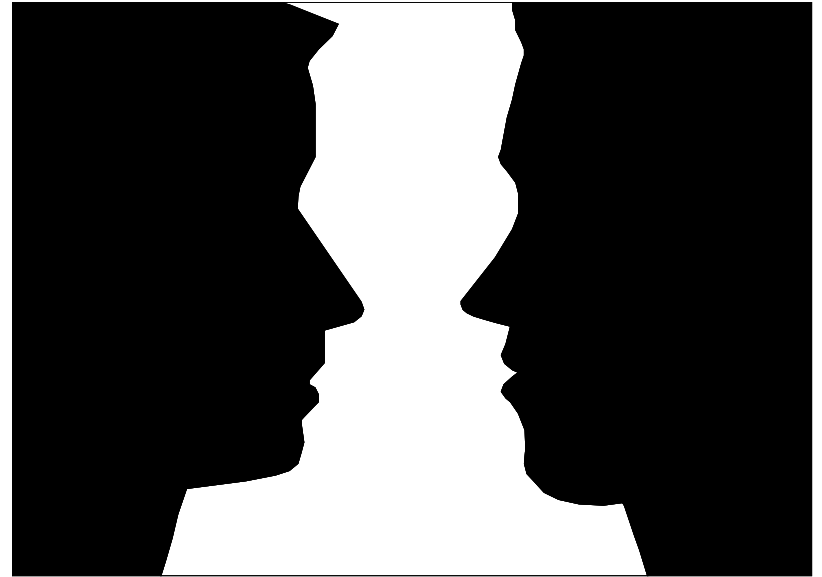
# Nose Size & Shape



- Circumference of the nose - Template Sizing Gauges are only a starting point!
- Distance from bridge to below the nose (for Nasal Masks)
- Distance from bridge to below lower lip or below the chin (for Full Face Masks)

# Nose Bridge: Very Important!

- Average
- Flat
- High - Prominent
  - Pointed
  - Wide



# Avoid Nose Bridge Ulcers

- Nose bridge ulcers - Can be severe & serious
  - Usually from a poor fitting nasal or full face mask
  - More likely on people with high &/or narrow nose bridges
- Solutions
  - Choose the right size mask
  - Adjustable Forehead Arm or Spacer fills gap between mask & forehead
  - Change to a Nostril Style interface
  - If mask change isn't an option use wound care products or Gecko Pad

# ResMed Gecko™ Nasal Pads



# **“Micro” Style Masks**

- Fits below the nose bridge so size is less forgiving
- Smaller
- Lighter & more comfortable
- Easier to take on & off

# ResMed AirFit N20 Nasal Mask





# ResMed AirFit N10 Nasal Mask



# Philips Respironics Wisp Nasal Mask™



# Fisher & Paykel Eson™ Nasal CPAP Mask



# Standard (Older) Nasal Masks

- Fits from nasal bridge to below the nose
- Therefore size needs to be more precise
  - Different lengths & widths
- Usually has forehead cushion for stability
- Has fitting features
  - Double cushion with “bubble effect”
  - Forehead adjustment – needs to be used

# **Adjustable Forehead Arm: Very important for proper fit**



# Fisher & Paykel Zest™ Nasal Mask



# **Circadian SleepWeaver™ Mask**

**Made from Cloth in fun colors Other styles available including a Full Face Mask**

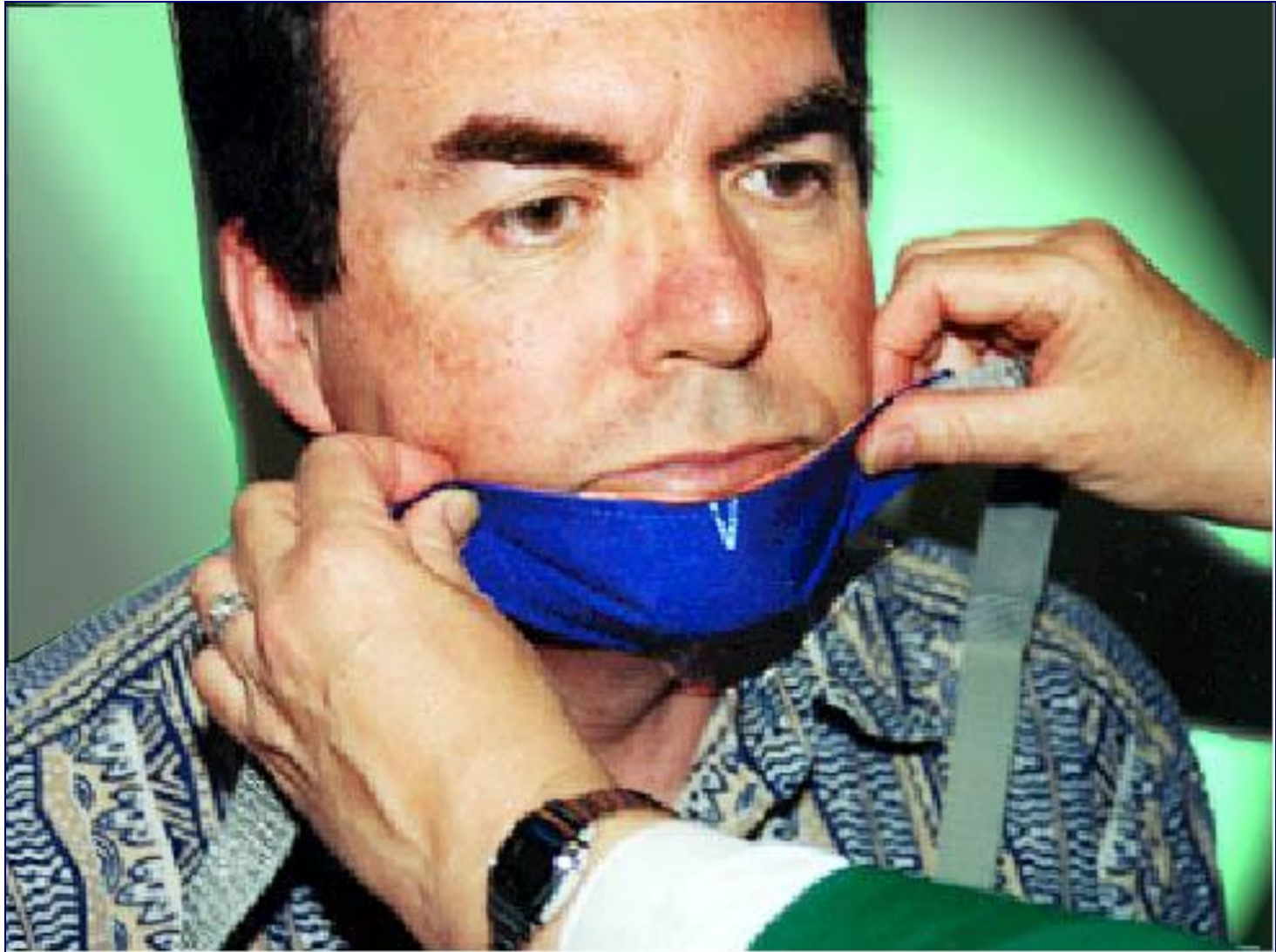


# Chin Restraints

- For the lip leaker, not for the major mouth leaker
- Encourages patient to keep their mouth/lip closed
- Does NOT keep mouth completely sealed
- Goal is a “stiff lower lip: Snug material under lower lip to stop lip leak
- Various styles and materials



# ResMed Chin Strap



# **Puritan Bennett Chin Strap™**



# Tiara Ruby Chin Strap™

□



# Veronique Chin Strap™



# **Full Face Mask Best Suited For:**

- Nose Breathers or Nose Breathers who leak out of their Mouth or Lips
- Those people who are unable to keep their mouth closed
- Those people who are uncomfortable with the nasal interface/chin strap combination

# Full Face Mask

- Various Fits
  - From below the bridge
  - From nose bridge to under lower lip
  - From nose bridge to under chin
  - Mouthseal with nostril connection & sometimes under the chin
  - Cushion fits around eyes & under lower lip
- Most have double cushions

# Full Face Masks

- Have clever, fail-safe valves that allow patient to breathe room air if there is a power failure (anti-asphyxia valves)
- Held in place with straps of varied stretchiness.
  - Some have quick releases
  - But quick releases have become less common



# Full Face Mask (Cont.)

- Advantages
  - May be the only option for successful treatment
  - More reliable & usually more comfortable than nasal interface with chin strap
- Disadvantages
  - Harder to achieve leak free fit; small leaks=loud noise
  - More difficult to take on & off
  - More prone to nose bridge ulcers
  - Can leave marks on face



# ResMed AirFit or AirTouch F20 Full Face Mask



# Respironics Amara™ Full Mask



# Fisher & Paykel Simplus Full Face Mask



# Fisher & Paykel Forma™ Full Face Mask



# Hybrid Full Face Masks

- Delivers the pressure through the nose and mouth without pressing on the bridge of the nose.

# **Philips Respironics Amara View Minimal Contact Full Face Mask**



# ResMed Liberty™



# **Respironics FitLife™ Face Mask**





# Oral Interfaces

- For the mouth breather
- Frequently used for NPPV

# Oral Interfaces

- Fit into the mouth
- Some secured with a single strap
- Some seal over the mouth for sleeping
  - Fisher & Paykel Oracle 2™
  - Puritan Bennett MouthSeal™

# Fisher & Paykel Oracle 2 <sup>TM</sup>





# Acclimating to Treatment & Follow-up

- Even with skilled fitting, the patients don't really know how comfortable the interface is until they sleep with them at home
- Need to follow-up within 2-7 days of initiating treatment
- May need to go to a different mask
  - 30 Mask Guarantee Program
- May need re-education for the dexterously challenged

# Two (or more) Interfaces

- No interface is perfect - pressure points with different interfaces. May want to switch off
- Rotate or use one for daytime use, another for sleeping (for NIV patients)
- Remind patients to check in periodically to see what is new; good time is when they need to replace an interface from wear

## Bizarro

Kemosabe — you forgot to switch  
from your sleep mask to your  
crime-fighting mask again.







5-22

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**"Now snore."**





# It is Clinically Gratifying

“Your success is our reward”

Glenn Noble, RCP, RPFT

Thank You  
ANY QUESTION???

